

National Indian Gaming Commission

SETTLEMENT AGREEMENT**SA-08-10**

INTRODUCTION

This settlement Agreement (Agreement) is entered into by and between the Assiniboine and Sioux Tribes of Fort Peck (Tribe or Tribes), a federally-recognized Indian tribe, and the Chairman of the National Indian Gaming Commission (NIGC Chairman), relating to the matter contained in the NIGC Chairman's Notice of Violation No. NOV-08-10.

RECITALS

1. Whereas, the Indian Gaming Regulatory Act (IGRA) authorizes the NIGC Chairman to issue civil fines for violations of the IGRA, NIGC regulations, or tribal regulations, ordinances, or resolutions approved by the NIGC Chairman under 25 U.S.C. Sections 2710, 2712; and 25 U.S.C. § 2713(a)(1).
2. Whereas, under the regulations of the NIGC, 25 C.F.R. § 573.3(a), the Chairman may issue a Notice of Violation (NOV) to any person for violations of any provision of the IGRA, NIGC regulations, or any provision of a tribal gaming ordinance or resolution approved by the Chairman;
3. Whereas, on June 27, 2008, the NIGC Chairman issued NOV-08-10 for the Tribe's failure to submit an independent audit report within 120 days after the end of the gaming operation's fiscal year.
4. Whereas, the Chairman and the Tribe desire to achieve an amicable resolution of Notice of Violation No. NOV-08-10.
5. Therefore, the Chairman and the Tribe have agreed to execute this Agreement and perform in accordance with the following covenants and conditions:

TERMS OF SETTLEMENT

6. This Agreement is entered into pursuant to 25 C.F.R. Section 577.9(d) and shall be effective upon the date that it is signed by the last party to sign this Agreement (Effective Date).
7. The Tribe agrees that it submitted the audit report for the following operations for the fiscal year ended September 30, 2007, to the NIGC in an untimely fashion:
 - B&S Laundry, Brockton, Montana
 - TJ's Quickstop, Poplar, Montana
 - Silver Wolf Casino, Wolf Point, Montana
 - Git-N-Go, Wolf Point, Montana
 - Tribal Express Casino, Poplar, Montana
8. The Tribe agrees that the failure to submit an annual independent audit report for its gaming operation in a timely fashion is a violation of IGRA, 25 U.S.C. § 2701 *et seq.*, NIGC regulations, and the Tribe's gaming ordinance.
9. The Tribe agrees to pay a civil fine of eight thousand dollars (\$8,000), made payable to the U.S. Treasury and delivered to the NIGC. Four thousand dollars (\$4,000) of the fine shall be due within thirty (30) days after the Effective Date.
10. The remaining four thousand dollars (\$4,000) will be suspended pending timely submission of the fiscal year 2007-2008 audit report. If the Tribe fails to timely submit the FY 2007-2008, \$4,000 will become payable 30 days from the date the audit report was due.
11. By entering this Agreement, the NIGC Chairman agrees to waive his right to impose any additional civil fine or a closure order against the Tribe for the matters addressed in NOV-08-10, provided that if the Tribe fails to comply with this Agreement, the NIGC Chairman may issue a proposed civil fine to resolve the Tribe's violation and/or breach of this Agreement.
12. The Tribe agrees upon execution of this Agreement to waive the right to further review of matters addressed in this Agreement, including all rights to appeal to the full Commission as set forth in 25 C.F.R. Sections 577 *et seq.* and judicial review pursuant to 25 U.S.C. Section 2714.

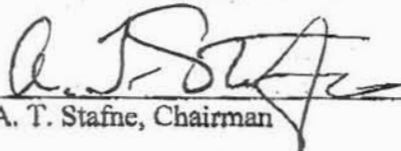
ADDITIONAL COVENANTS

13. This Agreement constitutes the entire agreement between the NIGC Chairman and the Tribe relating to the enforcement matter set forth at the beginning of this Agreement. Any modification or waiver of any term of this Agreement must be in writing and signed by both parties.

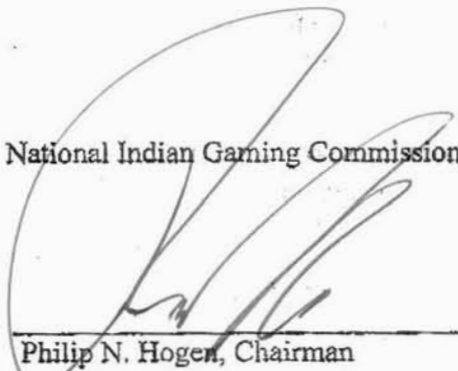
- 14. The Tribe stipulates that this Agreement shall be deemed to be the subject of a final order of the NIGC under 25 C.F.R. § 575.4(c)(1) and a final agency action pursuant to 25 C.F.R. § 577.9(d).
- 15. The NIGC Chairman and the Tribe expressly agree and acknowledge that time is of the essence in this Agreement. The recitals herein shall be binding upon the parties, their agents, heirs, personal representatives, successors and assigns.
- 16. The parties agree that after the Effective Date, this Agreement shall be a public document and may be published or disclosed by either party.
- 17. This Agreement may be executed on one or more counterparts and each shall constitute an original. A signature produced by Facsimile shall be deemed to be an original signature and shall be effective and binding for purpose of the Agreement.

Assiniboine and Sioux Tribes of Fort Peck

National Indian Gaming Commission



 A. T. Stafnc, Chairman



 Philip N. Hogen, Chairman

Date:

Date:

RESOLUTION # 961-2008-08

**TRIBAL GOVERNMENT
Administrative**

WHEREAS, the Fort Peck Tribal Executive Board is the duly elected body representing the Assiniboine and Sioux Tribes of the Fort Peck Reservation and is empowered to act on behalf of the Tribes. All actions shall be adherent to provisions set forth in the 1960 Constitution and Bylaws, and

WHEREAS, the Fort Peck Tribes were notified of a violation of the NICG regulation and Tribal Gaming Ordinance by failing to submit a timely audit of operations, and

WHEREAS, the Fort Peck Tribes were assessed a fine by the NICG for the violation, and

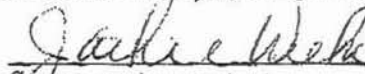
WHEREAS, a Settlement Agreement between the Fort Peck Tribes and the NICG was brokered by the Tribes' law firm, and

Whereas, the Settlement Agreement calls for a civil fine of eight thousand dollars (\$8,000), with \$4,000 made payable within 30 days of the settlement agreement, and \$4,000 suspended pending timely submission of the 2007-2008 audit report, now


THEREFORE BE IT RESOLVED, that the Fort Peck Tribal Executive Board hereby agree to the Terms of Settlement between the Fort Peck Tribes and NICG and authorize Chairman A.T. Stafne to sign the Agreement.

CERTIFICATION

I, the undersigned Secretary Accountant of the Tribal Executive Board of the Fort Peck Indian Reservation, hereby certify that the Tribal Executive Board is composed of 12 voting members of whom 10 constituting a quorum were present at a Special meeting duly called and convened this 11th day of August, 2008 and that the foregoing resolution was duly adopted at such meeting by the affirmative vote of 7 for and 0 opposed.


Secretary Accountant

APPROVED:


Chairman/Vice-Chairman
Fort Peck Tribal Executive Board

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